ASCOM LEASING & INVESTMENTS LIMITED

CIN: L65993GJ1986PLC085128
Regd. Office: 331, 3rd floor, Four Point complex, Vesu,
besides Maniba Park, Surat- Gujarat- 395007
Mail id: adityagroup.in@gmail.com
Website: www.ascomfinance.com

17th January 2025

To

The Manager- Listing,
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra East, Mumbai-400051
Symbol: ASCOM

Dear Sir/Madam,

Pursuant to SEBI Circular No. SEBI/HO/CFD/CFD-POD-2/CIR/P/2024/185 dated December 31, 2024, read with NSE Circular No. NSE/CML/2025/02 dated January 2, 2025, please find enclosed herewith the Integrated filing (Governance) of the Company for the quarter ended December 31, 2024.

Kindly take the above information on record.

Thanking You,

For and on the behalf of
Ascom Leasing & Investments Limited

Pandya Tushar
Digitally signed by Pandya Tushar
Date: 2025.01.17 15:07:55 +05'30'

Tushar Rohitbhai Pandya
Managing Director
DIN: 03264783

Enclosure: As below

General information about company		
Scrip code	000000	
NSE Symbol	ASCOM	
MSEI Symbol	NOTLISTED	
ISIN	INE08KD01015	
Name of the entity	Ascom Leasing & Investments Limited	
Date of start of financial year	01-04-2024	
Date of end of financial year	31-03-2025	
Reporting Quarter Type	Quarterly	
Date of Quarter Ending	31-12-2024	
Type of company	SME	
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	No	Please note that our company is listed on the Emerge platform of the NSE (i.e., as an SME-listed company). Therefore, the requirement to file a corporate governance report is not applicable, as per the provisions of Regulation 15 of SEBI (LODR) 2015.
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes	
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	No	No acquisition of shares or voting rights in the Unlisted Companies
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	Yes	
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	No	No Ongoing Tax Litigation or Disputes is is pending or continue to the our Company.
Is SCORE ID Available ?	Yes	

SCORE Registration ID	COMZ00679
Reason For No SCORE ID	
Type of Submission	Original
Remarks (website dissemination)	
Remarks for Exchange (not for Website Dissemination)	

Pandya Tushar Digitally signed by Pandya Tushar Date: 2025.01.17 15:08:13 +05'30'

Investor Grievance Details	
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	0
No. of investor complaints disposed off during the Quarter	0
No. of investor complaints those remaining unresolved at the end of the Quarter	0

Pandya Tushar

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Date: 2025.01.17 15:08:29 +05'30'

Disclosure of Imposition of Fine or Penalty The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para A of Part A of Schedule III are given below:					
Any Other Information for Disclosure of Imposition of Fine or Penalty					
Sr. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
1	Securities and Exchange Board of India	Settlement Order no. ORDER/BM/RK/2024-25/30418 dated June 12, 2024 in the matter of trading activities by certain entities in the scrip of the Company.	12-06-2024	Violated of Regulation 31(1) & 31(4) of the LODR Regulations read with Section 21 of SCRA by not classifying Saffron Hitech Equipment Private Limited under category of promoter group in the shareholding pattern.	There is no material impact on the financial, operation or other activities of the Company in lieu of the settlement application order.

Pandya Tushar

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Date: 2025.01.17 15:08:43 +05'30'